

ANANT INVESTMENTS PVT LTD

(Member: National Stock Exchange of India Ltd.)

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Policy Framework for Voluntary Freezing/Blocking of Online Trading Account Access for Clients

Annexure to Risk Management Policy

Introduction

In an effort to enhance the ease of business operations and investment activities for investors, and to safeguard investors from suspicious activities, SEBI, through its circular ref. No. **SEBI/HO/MIRSD/POD-1/P/CIR/2024/4** dated January 12, 2024, has mandated a framework for stock exchanges. This framework requires Trading Members to provide clients with the facility to voluntarily freeze/block online access to their trading accounts. Consequently, stock exchanges have issued circulars detailing this framework. In line with these directives, the following policy has been formulated for the voluntary freezing/blocking of trading accounts.

1. Procedure to Unfreeze/Unblock the Account

Clients wishing to unfreeze/unblock their account must send an email to Anant08155@gmail.com from their registered email ID. Upon receipt of the email, the Definedge team will contact the client to confirm the request. The account will be unfrozen only after the client confirms the request over the call.

Timelines for Freezing/Blocking the Online Access:

Scenario	Timelines for Issuing Acknowledgement and Freezing/Blocking
Request received during trading hours and within 15 minutes before the start of trading	Within 15 minutes
Request received after trading hours and 15 minutes before the start of the next trading session	Before the start of the next trading session

Clarifications

1. The request for freezing/blocking does not equate to marking the client's Unique Client Code (UCC) as inactive in the Exchange records.

This policy shall be effective from July 01, 2024.

For Anant Investments Pvt Ltd

V. S. / 10 / 2024

Director

